COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

FEB - 1 2001

Sir:

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Transmitted herewith is a response in the above-the application.

**TECH CENTER 1600/2900** 

- Small entity status of this application under 37 CFR §1.9 and §1.27 has been established by a verified statement previously submitted.
- A verified statement to establish small entity status under 37 CFR §1.9 and §1.27 is enclosed.
- A Petition for a \_\_\_\_\_ - month extension of time and the separate fee therefor is enclosed.
- $\boxtimes$ No additional claim filing fee is required.

The fee has been calculated as shown below.

CLAIMS REMAINING	HIGHEST NO. PREVIOUSLY	PRESENT EXTRA	SMALL ENTITY		LARGE ENTITY	
AFTER AMENDMENT	PAID FOR		RATE	ADDIT.FEE	RATE	ADDIT, FEE
TOTAL 18	MINUS -	= 0	x \$ 9.00 =	\$	x \$ 18.00 =	\$
INDEPENDENT 1	MINUS - 2	= 0	x \$40.00 =	\$	x \$ 80.00 =	\$
☐ First presentation of multiple dependent claim			_+ \$135.00 =	\$	+ \$270.00 =	\$
		Total Fee		\$		\$0

- Please charge Deposit Account No. 04-1644 in the amount of \$\( \) to cover the claim filing fee.
- A check in the amount of \$0 to cover the claim filing fee is enclosed.
- Conditional Petition For Extension of Time: An extension of time, if necessary, is requested to provide for timely filing of this communication.
- A duplicate copy of this transmittal sheet is enclosed. ☒
- ☒ Other: Return Post Card
- Ø The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this communication or credit any overpayment to Deposit Account No. 04-1644.

100 1 11/d

Date:_	January 30, 2001	By	I artin black	
•		M	artin L. Katz, Reg. No. 25,011	

Correspondence Address:

ROCKEY, MILNAMOW & KATZ, LTD. Two Prudential Plaza, Suite 4700 180 North Stetson Avenue Chicago, Illinois 60601 (312) 616-5400

#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail Label No. EL733165426US in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on January 30, 2001.



## **CERTIFICATE OF EXPRESS MAIL**

I hereby certify that this Response to Restriction Requirement and Amendment and any other documents referred to as enclosed herein, are being deposited in an envelope with the United Stats Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and addressed to Commissioner for Patents, Washington, D.C. 20231.

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Signature of Person Mailing Correspondence



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

AW 5-5:01

Applicants: J. Patel et al.	) Attorney Docket No.: 6475. ) ABB1130P0901US	US.02
Serial Number: 09/524,113	) Oroup Art Unit: 1617	RECEIVED
Filing Date: March 13, 2000	) ) Examiner: M. Bahar	FEB - 1 2001
Re: Novel Formulations Comprising Lipid-Regulating Agents	)	TECH CENTER 1600/2900

Commissioner for Patents Washington, D.C., 20231

### RESPONSE TO RESTRICTION REQUIREMENT AND AMENDMENT

Dear Sir:

In response to the Office Action dated January 3, 2001, please amend the above-identified U.S. Patent Application as follows.

### IN THE CLAIMS

Please amend claim 1 as follows:

Claim 1 (once amended) A composition comprising a lipid-regulating agent dissolved or dispersed in at least one oil with one or more emulsifiers, wherein the <u>resulting</u> mixture [is capable of forming] <u>forms</u> an emulsion upon dilution with an aqueous phase.